

User guide

Clearer Cash Account Request

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Clearer Cash Account Request

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Clearer Cash Account Request

1 General information for Cash Collateral Accounts

With C7 CAS Release 2.279, changes to the process of setting up, modifying and displaying “Cash Collateral Accounts” are introduced. The client (Clearing Member) is already able to request cash collateral accounts via C7 CAS, but with the release 2.279 this functionality will be enhanced: In an admission request or a segregation change request, the client can request a new cash collateral account (assigned to a specific “Collateral Pool”), he can request a change of an existing clearer cash account in segregation change request and he has to possibility to view his currently available clearer cash accounts.

The screenshot displays the EUREX C7 CAS interface. At the top, the EUREX logo is visible on the left, and navigation links for 'Home', 'My Current Setup', 'Admissions & Initial Setups', and 'Change Requests' are in the center. On the right, there are notification and power icons. Below the navigation bar, the page title is 'Admission'. The main content area is titled 'Elementary Clearing Model (ECM) by Asset' and features a horizontal menu with tabs: 'Legal Entity', 'Sub Entities', 'Admission Details', 'Position Accounts', 'Netting Sets', 'Pool Attributes', 'Securities Accounts', 'Cash Accounts' (highlighted with a red box), 'Documents', 'Changes', and 'Finalisation'. Below the tabs is a search bar and a table with the following columns: 'Pool Name', 'Cash Instruction Group', 'Currency', 'Cash Location', 'Cash Account Number', 'Cash Account Holder', 'BIC of Cash Account Holder', 'Sort Code', 'Account Number', 'IBAN', and 'Type of Money'. The table currently shows two empty rows. Below the table, there is a pagination control indicating 'Records 1 to 2 of 2', an 'Add Account' button, and a 'Save' button.

1.1 Available functionality for Cash Collateral Accounts

1.1.1 New Cash Collateral Account

To add a new cash collateral account, two options are available:

(1) During an admission of a “Disclosed Client”, navigate through the menu bar and select “[Admissions & Initial Setups](#)” > “[Add Disclosed Client](#)”. On “[Cash Accounts](#)” tab, a new cash collateral account can be added. Please see [chapter 2](#) for further information.

(2) During a segregation change request, navigate through the menu bar, select “[Change Requests](#)” > “[Position Accounts and Collateral Management](#)”, and select the “[New Request](#)” button. On the “[Cash Accounts](#)” tab, an overview of existing cash collateral accounts is given. From this overview, select “[Add Account](#)” a new cash collateral account can be added. Please see [chapter 2](#) for further information.

1.1.2 View available Cash Collateral Accounts

To display a list of all available cash collateral accounts navigate through the menu bar and select “[My Current Setup](#)” > “[Position Accounts and Collateral Management](#)” > “[Cash Accounts](#)” tab.

1.1.3 Change existing Cash Collateral Accounts

The Clearing Member has the possibility to change existing cash collateral accounts by entering a segregation change request. Please see [chapter 3](#) for further information.

1.1.4 Delete existing Cash Collateral Accounts

The Clearing Member has the possibility to delete existing cash collateral accounts by entering a segregation change request. Please see [chapter 3](#) for further information.

2 Request New Cash Collateral Account

This chapter describes the process of requesting a new cash collateral account.

2.1 Add new Cash Collateral Account during the Admission of a DC

2.1.1 Enter general information for new admission request

Log in to C7 CAS. On the home screen, navigate through the menu bar and select “[Admissions & Initial Setups](#)” > “[Add Disclosed Client](#)”. To start a new admission, please go on as described in the “User Guide – Admission of new DC”: chapter 2, as the necessary steps are identical.

2.1.2 Pool Attributes tab

Once all necessary information is defined and (if applicable) account attributes are entered for the admission request, please select the tab “[Pool Attributes](#)”.

Certain collateral pool attributes are mandatory. Please fill in the required information. Please note that to be able to request new cash accounts, which will be assigned to the respective collateral pool, “Set-off with Standard Pool of Clearing Member” needs to be selected as “Excluded”.

Once that that is done, select “[Cash Accounts](#)” tab to proceed with the process of setting up new cash collateral accounts.

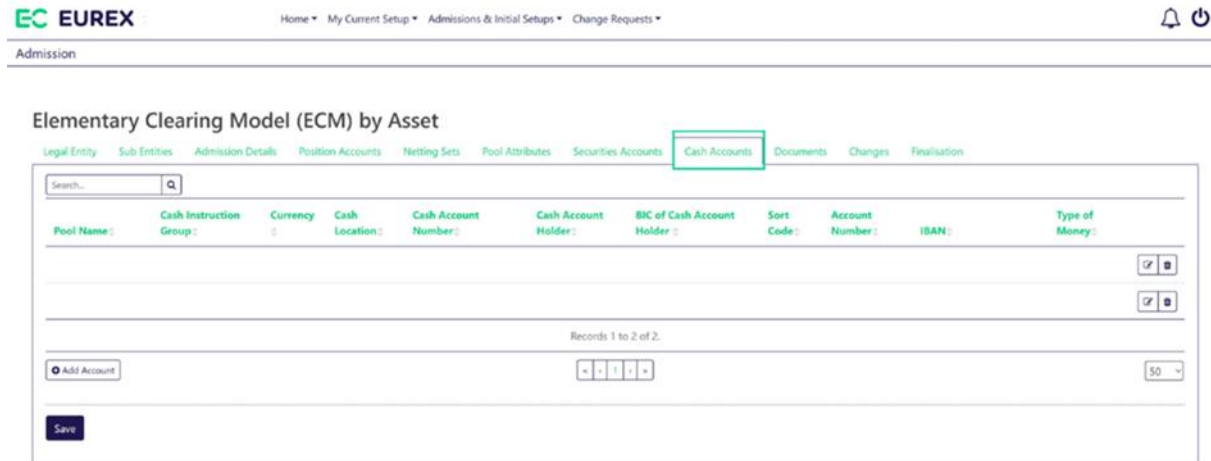
Elementary Clearing Model (ECM) by Asset

The screenshot shows a web form titled "Elementary Clearing Model (ECM) by Asset". At the top, there is a navigation bar with tabs: Legal Entity, Sub Entities, Admission Details, Position Accounts, Netting Sets, Pool Attributes (selected), Securities Accounts, Cash Accounts, Documents, Changes, and Finalisation. Below the navigation bar, the form contains several dropdown menus: "Pool Name" (empty), "Auto Repay of Cash Collateral" (Yes), "Pool Currency" (EUR), "Set-Off with Standard Pool of Clearing Member" (Excluded), and "Cash Instruction Group" (No Grouping). A "Save" button is located at the bottom left of the form.

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2.1.3 Cash Accounts tab

On the “Cash Accounts” tab, create a new cash collateral account by selecting “Add Account”.



Select “New Account” to open a pop-up window. Here, select the collateral pool (pool name) to which the new cash collateral account shall be assigned. Please note, that only those collateral pools are shown for which “Set-off with Standard Account of Clearing Member” on the “Pool Attributes” tab has been set to “Excluded”.

In a dropdown menu, the currency can be selected, and based on the selected currency the cash location can be selected in a drop-down menu.

In “Cash Account Holder”, the “Member ID” (5 uppercase characters) of the holder of the cash collateral account must be entered. The application checks, if that Member ID is registered with Eurex Clearing and whether cash location and account number match the records in the clearing payment system. If the entered Cash Account Holder Member ID belongs to a 3rd party, the 3rd party confirmation must be downloaded, filled, signed and uploaded.

Please also enter the BIC of Cash Account Holder (format: 11 alphanumeric uppercase characters) and the Cash Account Number (format: 35 alphanumeric uppercase characters).

The 'New Account' pop-up window contains the following fields and options:

- Pool Name*: [Dropdown menu]
- Currency*: [Dropdown menu, value: AUD]
- Cash Location*: [Dropdown menu, value: ANA - Australia and New Zea...]
- Cash Account Holder*: [Text input field]
- BIC of Cash Account Holder*: [Text input field]
- Cash Account Number*: [Text input field]
- Type of Money*: [Dropdown menu, value: Standard]

Buttons: [Cancel] [OK]

Once all required data has been entered in the new cash account pop-up windows, select “OK” and a new clearer cash account is added.

2.1.4 Finalisation

Once all required data has been entered in the admission request, please select the “Finalisation” tab and select “Submit” to send the admission request. You need to confirm that the entered cash accounts are setup and active, that you grant Eurex Clearing debit authorization and that you agree that two test payments will be made.

Elementary Clearing Model (ECM) by Asset

Legal Entity Sub Entities Admission Details Position Accounts Netting Sets Pool Attributes Securities Accounts Cash Accounts Documents Changes Finalisation

New
Created, 14.08.2023
Last updated, 14.08.2023 11:26:50

We confirm that the following accounts are set up and active. A debit authorization for Eurex Clearing (BIC EUXCDEFZXXX) has been granted. We agree that Eurex Clearing AG will conduct two test payments for new settlement bank account (Debit / Credit).

Assigned Business Partner ID: 1036591

Save Submit Cancel Admission

2.2 Add new Cash Collateral Account in Segregation Change Request

Besides adding new cash collateral accounts during an onboarding process of a disclosed client, cash collateral accounts can be entered within a segregation change request.

2.2.1 Create new Segregation Change Request

Log in to C7 CAS. On the home screen, navigate through the menu bar and select “Change Requests” > “Position Accounts and Collateral Management”. To start a new change request, please select “New Request” and select the clearing model and client you want to enter the request for. Once that information has been entered, please navigate to the “Pool Attributes” tab.

2.2.2 Pool Attributes tab

Certain collateral pool attributes are mandatory and should already be pre-filled. Please ensure that all required information is entered. Please note that in order to be able to request new cash accounts, which will be assigned to the respective collateral pool, “Set-off with Standard Pool of Clearing Member” needs to be selected as “Excluded”.

Clearer Cash Account Request

Once that that is done, please select “Cash Accounts” tab to proceed with the process of setting up new cash collateral accounts.

Elementary Clearing Model (ECM) by Asset

Legal Entity Sub Entities Admission Details Position Accounts Netting Sets Pool Attributes Securities Accounts Cash Accounts Documents Changes Finalisation

Pool Name	Auto Repay of Cash Collateral	Pool Currency	Set-Off with Standard Pool of Clearing Member	Cash Instruction Group
	Yes	EUR	Excluded	No Grouping

Save

2.2.3 Cash Accounts tab

On the “Cash Accounts” tab, an overview of set up cash collateral accounts for each defined collateral pool is shown.

EC EUREX Home My Current Setup Admissions & Initial Setups Change Requests

Admission

Elementary Clearing Model (ECM) by Asset

Legal Entity Sub Entities Admission Details Position Accounts Netting Sets Pool Attributes Securities Accounts Cash Accounts Documents Changes Finalisation

Pool Name	Cash Instruction Group	Currency	Cash Location	Cash Account Number	Cash Account Holder	BIC of Cash Account Holder	Sort Code	Account Number	IBAN	Type of Money

Records 1 to 2 of 2.

Add Account

Save

Select “New Account” to open a pop-up window. Here, select the “Collateral Pool” (pool name) to which the new cash collateral account shall be assigned. Please note, that only those collateral pools are shown for which “Set-off with Standard Account of Clearing Member” on the “Pool Attributes” tab has been set to “Excluded”.

In a drop-down menu, the currency can be selected, and based on the selected currency the cash location can be selected in a drop-down menu.

In “Cash Account Holder”, the Member ID (5 uppercase characters) of the holder of the cash collateral account must be entered. The application checks, if that Member ID is registered at Eurex Clearing and it is checked whether cash location and account number match the records in the clearing payment system. If the entered Cash Account Holder Member ID belongs to a 3rd party, the 3rd party confirmation must be downloaded, filled, signed and uploaded.

Please also enter the BIC of Cash Account Holder (format: 11 alphanumeric uppercase characters) and the cash account number (format: 35 alphanumeric uppercase characters).

New Account [X]

Pool Name* []

Currency* [AUD]

Cash Location* [ANA - Australia and New Zea...]

Cash Account Holder* []

BIC of Cash Account Holder * []

Cash Account Number* []

Type of Money* [Standard]

[Cancel] [OK]

Once all required data has been entered in the new cash account pop-up windows, please select “OK” and a new clearer cash account is added.

2.2.4 Finalisation

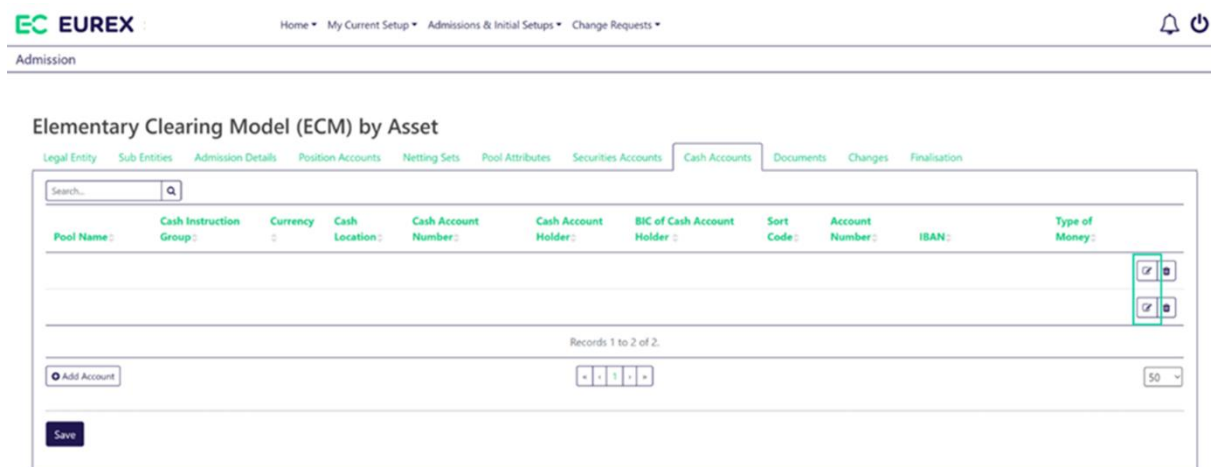
Once all required data has been entered in the admission request, select “Finalisation” tab and select “Submit” to send the admission request. You need to confirm that the entered cash accounts are setup and active, that you grant Eurex Clearing debit authorization and that you agree that two test payments will be made.

3 Maintenance or Deletion of Cash Collateral Accounts

This chapter describes how to make changes of a cash collateral account or to delete it.

3.1 Change Account Setup

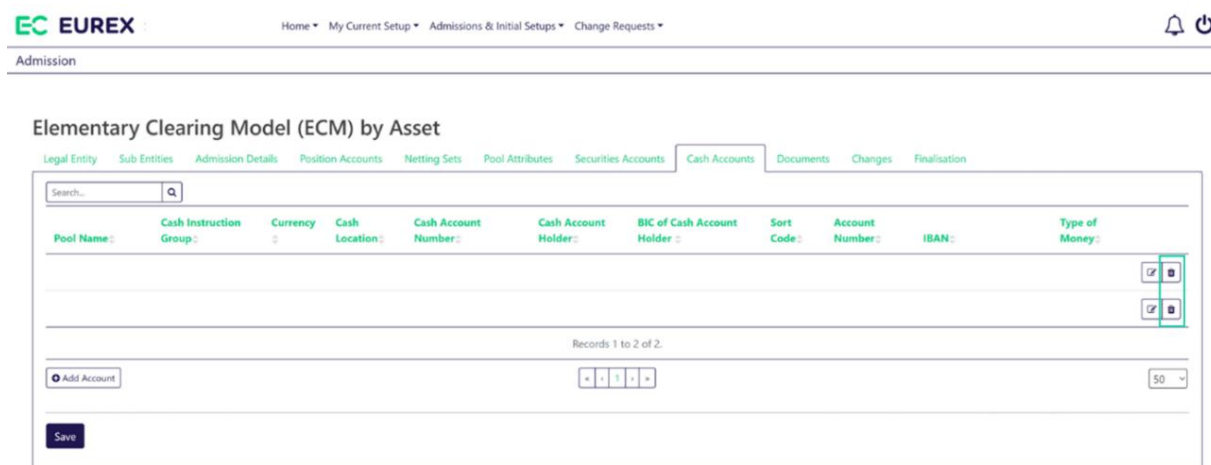
Please follow the steps described in [chapter 2.2.1](#) and [2.2.2](#). In the overview of “Cash Accounts”, all existing cash collateral accounts are listed. Here, you have the possibility to change the account details by selecting the “Pencil Icon”.



The opened cash account setup can now be edited. For further information on the data fields, please see description in [chapter 2.2.3](#). After finishing the necessary changes, please select “OK”. Please proceed as described in [chapter 2.2.4](#).

3.2 Deletion of Cash Collateral Accounts

Please follow the steps described in chapter 2.2.1 and 2.2.2. In the overview of “Cash Accounts”, all existing cash collateral accounts are listed. Here, you have the possibility to change the account details by selecting the “Trash Bin” icon.



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If you want to remove the account, please confirm the upcoming warning message and select “OK” and the account will be removed out of the setup.

Delete Account ✕

Do you really want to delete the account and all linked accounts?

! The account and linked accounts will be deleted.

Pool Name	<input type="text"/>
Currency	<input type="text" value="CAD"/>
Cash Location	<input type="text"/>
Cash Account Holder	<input type="text"/>
BIC of Cash Account Holder	<input type="text"/>
Cash Account Number	<input type="text"/>
Sort Code	<input type="text"/>
Account Number	<input type="text"/>
IBAN	<input type="text"/>
Type of Money	<input type="text" value="Standard"/>

Please proceed with the request as described in [chapter 2.2.4](#).

4 Request Statuses

There are different statuses in which a request for cash collateral accounts can be (either in an admission request or in a segregation change request).

4.1.1 New

In status “New”, an admission request or segregation change request has been started and saved, but it has not yet been submitted. The request can be edited and deleted.

4.1.2 Submitted

In status “Submitted”, an admission request or segregation change request has been finalised by the client and submitted. It has not yet been checked by Eurex Clearing. It is possible to view the request but cannot be edited or deleted. If a request in status “Submitted” needs to be canceled, please contact the corresponding Onboarding Manager.

4.1.3 Accepted

In status “Accepted”, an admission request or segregation change request that had been submitted and reviewed by Eurex Clearing. As no errors were identified, that request was accepted. In this status, the request is finalised, and it has been sent for processing to our internal systems.

4.1.4 Rejected

In status “Rejected”, an admission request or segregation change request that had been submitted and reviewed, but one or more errors have been identified. Therefore, the request was rejected. This exact request cannot be edited, but it can be cloned, which means that a new admission requests with all previously entered data is opened. That new request can be edited and (re-)submitted for review and acceptance.

4.1.5 Cancelled

In status “Cancelled”, an admission request or segregation change request has been cancelled before it has been sent to Eurex Clearing. This request cannot be edited or (re-)submitted for review and acceptance anymore.