



## *A n n o u n c e m e n t* *o f E u r e x D e u t s c h l a n d*

### **Sixth Amendment Ordinance to the Fee Regulations for Eurex Deutschland**

On 10 November 2022, the Exchange Council of Eurex Deutschland approved the Sixth Amendment Ordinance to the Fee Regulations for Eurex Deutschland.

It shall take effect on 01 December 2022 at the points in time specified in Article 2 of the Amendment Ordinance.

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The Amendment Ordinance is available on the website of Eurex Deutschland (<https://www.eurex.com/ex-en/>) and may be accessed in the Eurex Deutschland folder (“Präsenzordner Regelwerke”) at the reception desk of the trading floor, Börsenplatz 4, 60313 Frankfurt/Main, Germany, during general opening hours.

**Sixth Amendment Ordinance  
to the Fee Regulation of Eurex Deutschland**

***Article 1 Amendment to the Fee Regulation of Eurex Deutschland in the version dated 01. November 2007, last amended by the Amendment Ordinance dated 26. November 2020***

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AMENDMENTS ARE MARKED AS FOLLOWS:

INSERTIONS ARE UNDERLINED

DELETIONS ARE CROSSED OUT

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[...]

## § 1 Fee Facts, Basic Principles

- (1) Fees are levied for
  1. admission to participation in ~~options and futures trading~~ exchange trading,
  2. participation in ~~options and futures trading~~ exchange trading, and
  3. participation in the Exchange trader examination.
- (2) In addition, reimbursement of expenses may be demanded.
- (3) The definitions pursuant to Annex I of the Exchange Rules of Eurex Deutschland (Exchange Rules) shall apply. Unless otherwise specified, in the event of a conflict between regulations of Exchange Rules, Conditions for Trading, Contract Specifications and other rules and regulations of Eurex Deutschland, the following hierarchy shall apply in the following order: (i) Exchange Rules, (ii) Conditions for Trading, (iii) Contract Specifications, (iv) Exchange Admission Regulations, (v) Fee Regulations and (vi) other administrative acts and general orders issued by Eurex Deutschland.

## § 2 Participation Fee

- (1) The annual fee for participation in ~~options and futures trading~~ exchange trading is determined by the Management Board (~~Geschäftsführung~~) of Eurex Deutschland.
- (2) For ~~Exchange Participants~~ admitted companies in the context of a cooperation arrangement which Eurex Deutschland has entered into with another exchange, the Management Board of Eurex Deutschland may reduce the annual basic ~~fixed~~ fee, provided that the ~~Exchange Participants~~ admitted companies of Eurex Deutschland intending – the context of such cooperation arrangement – to trade on such other exchange are required to pay no or a correspondingly reduced fee to such exchange.
- (3) ~~Exchange participants~~ admitted companies who submit an application for cancellation or price correction of a transaction pursuant to the Conditions for Trading at Eurex Deutschland shall be charged a special participation fee for the processing of such application. This fee shall amount to EUR 500 per application. To the extent several ~~T~~ transactions, whose cancellation or price correction has been applied for, have been concluded as a result of the execution of an order or a quote (partial executions), this fee shall only be charged once.
- (4) In deviation to Paragraph 3, ~~Exchange Participants~~ admitted companies who submit an application for cancellation of an off-book ~~trade~~ transaction pursuant to Number 4.910.1 of the Conditions for Trading at Eurex Deutschland, shall be charged a

special participation fee of EUR 100 for the processing of such application by each Admitted Company involved in the transaction. To submit an application for cancellation of Eurex EnLight ~~transactions~~ Trades pursuant to Number 4.109.2 of the Conditions for Trading at ~~Eurex Deutschland~~ § 2 Paragraph 3 shall apply accordingly.

### § 3 Assessment Rate

The annual fee pursuant to § 2 corresponds to an assessment rate of 100%. The Management Board of ~~Eurex Deutschland~~ may increase or decrease this assessment rate in the course of a calendar year on a quarterly basis in view of the changes in actual costs; in doing so, the Management Board may set differing rates of assessment.

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### § 7 Deferral, Waiver and Abolition of Fees

If justified by the particular circumstances of an individual case, the Management Board of ~~Eurex Deutschland~~ may, upon request, defer or waive in full or in part or abolish any fees if their collection would involve substantial costs or cause major hardship or unfairness for the party liable for such payment.

### § 8 Admission Fee

- (1) Each ~~Exchange Participant~~ Admitted Company shall be required to pay a one-time admission fee on the occasion of its admission to ~~options and futures trading~~ exchange trading on Eurex Deutschland. The one-time admission fee shall be determined by the Management Board of ~~Eurex Deutschland~~.
- (2) If admission is granted in the context of a cooperation arrangement pursuant to § 2 Paragraph ~~23~~, the Management Board of ~~Eurex Deutschland~~ may in appropriate circumstances set a lower admission fee or completely waive the fee.

### § 9 Fees for Participation in the Exchange Trader Examination (Examination Fee)

- (1) For participation in the examination to determine the professional qualification to act as Exchange trader, a fee in the amount of EUR 200 shall be levied.
- (2) In case of a withdrawal from the Exchange Trader Examination up to three weeks before start of the examination, a fee in the amount of EUR 50 shall be levied.

- (3) In case of a withdrawal from the Exchange Trader Examination within three weeks before start of the examination, a fee in the amount of EUR 200 pursuant to Paragraph 1 or 2 shall be levied.

## **§ 10 Appeal**

Against all decisions passed pursuant to these Fee Regulations, filings before the administrative court are applicable.

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### **Artikel 2 Effective Date**

The amendments in Article 1 shall become effective on 01 December 2022.

The foregoing Sixth Amendment Ordinance to the Fee Regulation of Eurex Deutschland is hereby executed. Pursuant to the decision of the Exchange Council of Eurex Deutschland dated 10 November 2022, the Amendment Ordinance shall take effect on 01 December 2022.

The Hessian Ministry of Economics, Energy, Transport and Housing has given its approval required pursuant to § 16 Paragraph 3 of the Exchange Act in its letter dated 21 November 2020 (File No: II-037-d-04-05-08#005).

The Amendment Ordinance shall be announced by notice in the reception area of the trading floor, Börsenplatz 4, 60313 Frankfurt/Main, Germany and by electronic publication on the internet, available on the website of Eurex (<http://www.eurexchange.com>).

Frankfurt/Main, 21 November 2022

Management Board of Eurex Deutschland

Dr. Randolph Roth

Michael Peters