
CHANGES ARE MARKED AS FOLLOWS:

AMENDMENTS ARE UNDERLINED

DELETIONS ARE CROSSED OUT

[...]

III Part Securities Transactions in the Electronic Trading System

[...]

§ 31 Application for Cancellation of Transactions

(1) The cancellation of transactions shall be applied for with the Management Board (Mistrade Rules). The following parties are authorized to file an application.

1. the business parties according to § 2 Paragraph 1 and 2; however, the Clearing Member and Eurex Clearing AG are excluded from this authorization;
2. the respective specialist;
3. the respective quote provider.

(2) In case of transactions in securities traded in Continuous Auction, the Mistrade application shall be submitted within two trading hours upon receipt of the execution confirmation pursuant to § 2 Paragraph 1 Clause 2. As far as transactions of securities other than structured products, which are traded in Continuous Auction, are concerned, the application term ends according to Clause 1 upon closing of trading hours for that day, so the mistrade application has to be submitted within half an hour after the closing of trading hours at the latest. The application may be submitted in writing, via telecopy (+49 (0) 69 - 211 - 1 44 19), electronically (mistrade@deutsche-boerse.com) or via telephone (+49 (0) 69 - 211 - 1 38 70). In case of an application via telephone, the information required pursuant to Paragraph 4 shall be submitted in written form, via telecopy or electronically within one hour after the end of the application term pursuant to Clause 1. Otherwise, the Mistrade application is deemed to have been withdrawn.

(3) In case of securities traded in Continuous Trading with intra-day auctions, in the auction or Midpoint Order Matching, the Mistrade application shall be submitted within ten minutes upon receipt of the execution confirmation pursuant to § 2 Clause 2. The application may be submitted in writing, via

teletype (+49 (0) 69 – 211 – 1 14 01), electronically (xetrahelpdesk@deutsche-boerse.com) or via telephone (+49 (0) 69 - 211 - 1 14 00).

(4) The Mistrade application shall contain the following information:

1. company and contact person of the applicant;
2. name of security being subject matter of transaction, under indication of name and ISIN;
3. point in time as well as volume and price of transaction;
4. details regarding market-driven price:

In case of transactions in structured products, the details on the market-driven price shall also include the calculation formula and all factors relevant therefore.

(5) Except in cases regulated in § 165 Exchange Rules, a mistrade application is inadmissible if, upon request by the Management Board, or, during Continuous Auction, by the Specialist, the applying business party has confirmed or changed the binding order entered by it and executed upon transaction or the binding quote entered by it and executed upon the transaction on the bid- or ask side.

(6) The Management Board shall publish the submission of the mistrade application and its acceptance or refusal. Irrespective of the publication pursuant to Clause 1, it shall notify the business parties as well as the specialist and the quote provider of the submitted mistrade application.

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§ 33 Obvious Price Deviation in case of Transactions in Fund Shares and ETFs traded in Continuous Auction

(1) In case of fund shares and ETFs traded in Continuous Auction, the Management Board shall, in order to determine the market-driven price, choose one of below-mentioned calculation methods under consideration of the order regulated pursuant to Number 1 to 5. In case one of the methods to be applied accordingly is not adequate in individual cases, it shall not be considered. The Management Board may take as basis for a market-driven price:

1. the average of the last three prices which have been determined before the price determination for the transaction in the electronic trading system of FWB; if less than three prices have been determined in the electronic trading system of FWB, the average of two prices or one price;
2. the time-weighted average of the mean of the three indicative quotes of the specialist preceding the binding quote of the specialist within which the price determination for the transaction was carried out; the indicative quote, on whose basis the specialist has entered the binding quote immediately preceding the transaction during the call of the Continuous Auction, shall thereby not be considered;
3. the price determined by consultation of experts; § 32 Clause 3 to 6 shall apply accordingly;

4. the last available net inventory value;
 5. the price determined on basis of other adequate criteria, in particular under consultation of information services.
- (2) Transactions in fund shares and ETFs traded in Continuous Auction have been accomplished at a price obviously not in line with the market, if the price of the transaction deviates from the market-driven price determined according to Paragraph 1
1. by at least 3 % for share funds which exclusively or predominantly invest in German or Western European shares;
 2. by at least 4 % for share funds which predominantly invest in non-European or Eastern European shares or certain areas, as well as real estate funds, mixed and other funds;
 3. by at least 2 % for pension funds;
 4. by at least 1 % for money-market funds.

§ 33a Obvious Price Deviation in case of Transactions in Shares, Certificates Representing Shares and Bonds traded in Continuous Auction

- (1) In case of shares, certificates representing shares and bonds traded in Continuous Auction, the Management Board shall, in order to determine the market-driven price, choose one of below-mentioned calculation methods under consideration of the order regulated pursuant to Number 1 to 4. In case one of the methods to be applied preferentially is not adequate in individual cases, it shall not be considered. The Management Board may take as basis for a market-driven price:
1. the average of the last three prices which have been determined before the price determination for the transaction in the electronic trading system of FWB; if less than three prices have been determined in the electronic trading system of FWB, the average of two prices or one price;
 2. the time-weighted average of the mean of the three indicative quotes of the specialist preceding the binding quote of the specialist within which the price determination for the transaction was carried out; the indicative quote, on whose basis the specialist has entered the binding quote immediately preceding the transaction during the call of the Continuous Auction, shall thereby not be considered
 3. the prices determined during floor trading of FWB or another exchange to be determined by the Management Board in individual cases or exchange trading platform at home or abroad;
 4. the price determined on basis of other factual criteria, in particular by consultation of information services.
- (2) Transactions shares and certificates representing shares traded in Continuous Auction have been accomplished at a price obviously not in line with the market, if the price of the transaction deviates
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from the market-driven price determined according to Paragraph 1 by at least 5% and by at least EUR 0.50.

- (3) ~~Transactions in bonds traded in Continuous Auction have been accomplished at a price obviously not in line with the market, if the price of the transaction deviates from the return of the market driven price determined according to Paragraph 1 by at least 20 return points (according to International Securities Market Association — ISMA); in case of zero bonds, stripped bonds and bonds with a remaining term of under two years by at least 30 return points (according to International Securities Market Association — ISMA) — at least, however, if the price of the transactions deviates from the market driven price by 0.10 percent. Transactions in bonds traded in Continuous Auction have been accomplished at a price obviously not in line with the market, if the price of the transaction~~

1. deviates by more than the value shown in the table according to Clause 3 from the price in line with the market determined according to Paragraph 1,
2. deviates, however, by at least more than 1.5 times the time-weighted average of the absolute difference between the bid- and ask side (spread) of the last five same-day indicative quotes of the specialist preceding the binding quote of the specialist within which the price determination for the transaction was carried out.

In the calculation method according to Clause 1 Number 2, the indicative quote, on whose basis the specialist has entered the binding quote immediately preceding the transaction during the call of the Continuous Auction, shall not be considered. According to Clause 1 Number 1, the following values shall be based upon:

<u>Remaining maturity in years</u>	<u>Federal securities</u>	<u>Other bonds</u>
<u>0 <= remaining maturity <= 2.5</u>	<u>0.15 Eurocent</u>	<u>0.75 Eurocent</u>
<u>2.5 < remaining maturity <= 6.5</u>	<u>0.30 Eurocent</u>	<u>1.00 Eurocent</u>
<u>6.5 < remaining maturity <= 10.5</u>	<u>0.50 Eurocent</u>	<u>1.50 Eurocent</u>
<u>10.5 > remaining maturity</u>	<u>1.00 Eurocent</u>	<u>2.00 Eurocent</u>

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